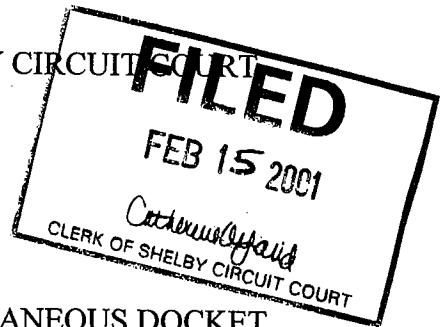


STATE OF INDIANA)
) SS:
COUNTY OF SHELBY)

IN THE SHELBY CIRCUIT COURT

AVC NO. 01-014



IN RE: Marquis Mortgage, Inc.,
Respondent.

MISCELLANEOUS DOCKET

NO. 73C01-0102-MT-004

ASSURANCE OF VOLUNTARY COMPLIANCE

The State of Indiana, by Attorney General Steve Carter and Deputy Attorney General Justin G. Hazlett, and the Respondent, Marquis Mortgage, Inc., enter into an Assurance of Voluntary Compliance ("Assurance") pursuant to Indiana Code § 24-5-0.5-7.

This Assurance is entered into without any adjudication of any issue of fact or law, and upon consent of the parties.

Any violation of the terms of this Assurance constitutes prima facie evidence of a deceptive act.

The parties agree that:

1. The Consumer Protection Division of the Office of the Attorney General has jurisdiction to investigate the matters hereinafter described, pursuant to the authority of Indiana Code § 4-6-9-4 and Indiana Code § 24-5-0.5-1 *et seq.*
2. Respondent has had its principal place of business at 8370 N 400 W, Fairland, Indiana 46126.
3. The terms of this Assurance apply to and are binding upon Respondent and its

employees, agents, representatives, successors, and assigns.

4. Respondent will comply with all the requirements of Indiana Code § 24-5-0.5-1 *et seq.*, including:

a. Respondent will not solicit to engage in a consumer transaction without a permit or other license required by law.

b. Respondent will not solicit to engage in a consumer transaction if a permit or other license is required by law to engage in the consumer transaction and Respondent is not qualified to obtain the required permit or other license or does not intend to obtain the permit or other license.

c. Respondent will not engage in a consumer transaction without a permit or other license required by law.

5. Respondent represents that the attached Exhibit A constitutes a complete list of the consumers who purchased tickets from Respondent. Respondent further represents that all money received from these consumers has been returned to the consumers, in the amounts specified in Exhibit A.

6. Upon the execution of this Assurance, Respondent will pay to the Office of the Attorney General the total of Five Hundred Dollars (\$500) as costs of the Office's investigation into this matter.

7. Respondent will not represent that the Office of the Indiana Attorney General approves or endorses Respondent's past or future business practices, or that execution of this Assurance constitutes such approval or endorsement.

8. Respondent will fully cooperate with the Office of the Indiana Attorney General in the resolution of any future written complaints the Consumer Protection

Division receives.

9. The Office of the Indiana Attorney General will file this Assurance with the Circuit Court of Shelby County. The Court's approval of this Assurance will not act as a bar to any private right of action.

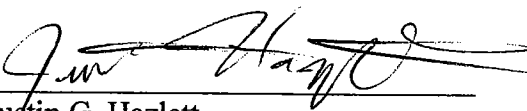
DATED this 12th day of February, 2001.

STATE OF INDIANA

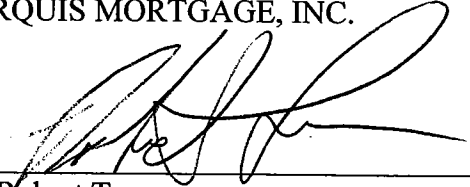
RESPONDENT

STEVE CARTER
Attorney General of Indiana

MARQUIS MORTGAGE, INC.

by: 

Justin G. Hazlett
Deputy Attorney General
Attorney No. 22046-49

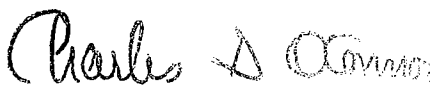
by: 

Robert Turner,
President of Marquis Mortgage, Inc.

Office of the Attorney General
Indiana Government Center South
5th floor
402 W. Washington Street
Indianapolis, IN 46204
(317) 232-0167

830 W. Banta
Indianapolis, IN 46217
(317) 439-8265

APPROVED this 15 day of Feb., 2001.



Judge, Shelby Circuit Court

The logo for Marquis Mortgage, Inc. features the word "Marquis" in a large, stylized serif font, with a diamond shape behind the letter 'M'. Below "Marquis" is the word "Mortgage, Inc." in a smaller, sans-serif font, separated by a horizontal line.

Marquis

Mortgage, Inc.

Check#	Person	Our Refund Check #	Amount
6555	CHRISTINE HORNE	1229	150.00
5912	ROGER PARIENT	1230	150.00
4171	FLUELLA UMMEL	1228	150.00
12210	VERA SULLIVAN	1235	150.00
1461	ELEANOR VOGLER	1226	150.00
1587	STEVE CHASSEY	1231	150.00
26994	KARL KORBACHER	1227	150.00
6786	TAMMIE HALL	1232	150.00
2341	SUSAN JOHNSON	1221	150.00
570	JAVIER FUENTEZ	1222	150.00
0307	JIM FULPER	1233	150.00
7842	JEFF DAVIS	1223	150.00
3503	BRAD DRAKE	1234	150.00
6905	WILLIAM FIKE	1224	150.00
712	KATHY SPRINGER	1225	150.00

ALL MONEY RECEIVED WAS REFUNDED FOR THE SAME AMOUNT. EVERYONE THAT SENT IN MONEY ONLY SENT IN \$150.00 AND THEN WE REFUNDED THEM THE SAME AMOUNT.

